

06/08/01

J1047 U.S. PTO

06-11-01

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 16224-16/NEC  
Inventor(s) : Neev, Joseph, et al.  
Title : METHOD AND APPARATUS FOR TISSUE TREATMENT AND MODIFICATION

Express Mail Label No. : **EL599668525US**

Jc821 U.S. PTO

09/878002

06/08/01

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: June 8, 2001

1. X **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. X Applicant claims small entity status under 37 CFR §1.27.

3. **IF A CONTINUING APPLICATION**

X This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/210,531, filed June 8, 2000.

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. **APPLICATION COMPRISED OF**

(a) Specification

23 Specification, claims and Abstract and Reference Cited (total pages)

(b) Drawings

3 Sheets of drawing(s) (FIGS. 1 to 4b)

(c) Declaration and Power of Attorney

\_\_\_ Newly executed

\_\_\_ Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)

XX An **unsigned** declaration

(i) \_\_\_ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application (37 CFR 1.63(d) and 1.33(b))

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Docket No.: 16224-16/NEC

5. ☐ **Microfiche Computer Program** (*Appendix*)
6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)
- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies
7. **ALSO ENCLOSED ARE**
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention is enclosed.
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) form PTO/SB/35 or its equivalent is attached.
- ☐ Other
- ☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

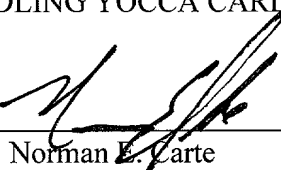
**8. CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP Department,**  
**P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California 92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By

  
Norman E. Carte  
Reg. No. 30,455  
(949) 737-4703

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: June 8, 2001**

Docket No. : 16224-16/NEC  
Inventor(s) : Neev, Joseph, et al.  
Title : METHOD AND APPARATUS FOR TISSUE TREATMENT AND MODIFICATION  
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**FEE CALCULATIONS**

CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS	
A	TOTAL CLAIMS	22- 20 = 2	2	2 x \$9.00	\$18.00	
B	INDEPENDENT CLAIMS	2- 3 = <1>	0	0 x \$40.00	\$0.00	
C	SUBTOTAL	SMALL ENTITY FEE = LARGE ENTITY FEE =		A + B 2 X (A + B)	\$18.00	
D	BASIC FEE	SMALL ENTITY FEE = LARGE ENTITY FEE =		\$355.00 \$710.00	\$355.00	
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = LARGE ENTITY FEE =		\$135.00 \$270.00		
F	TOTAL FILING FEE (ADD LINES C, D, AND E)					\$373.00

List Independent Claims: 1 and 19

**METHOD OF PAYMENT**

☒ Payment Enclosed: Check for \$373.00

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

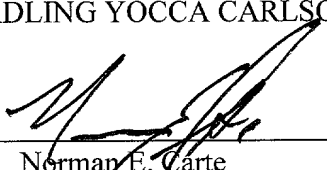
☐ No payment is enclosed.

☒ **The Commissioner is hereby authorized to treat any concurrent or future reply, requiring a petition for an extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

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Norman E. Carte  
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